



# State of Illinois Certification of Tobacco Manufacturer

TPM-1

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Please Review Instructions Prior to Completion.

## Part 1: Liability Year and Type of Certification

**Liability Year for this Certification:** Complete a separate form for each liability year for which you are certifying. (check one)  **2025**  Other \_\_\_\_\_

**Type of Certification:** (check one)  Initial  Annual  Supplemental

## Part 2: Manufacturer Identification

Company Name		FEIN	
Mailing Address			
City	State	Zip Code	Country
Phone	Email	Web Address	
Name and title of person completing this form			

## Part 3: Type of Manufacturer

**Type of Manufacturer:** Select which type of Tobacco Products Manufacturer (TPM) you are certifying as. By certifying as a Participating Manufacturer (PM), you are attesting that the manufacturer has generally performed their financial obligations under the MSA. By certifying as a Non-Participating Manufacturer (NPM), you are attesting that the manufacturer is in full compliance with the Escrow Act, including being current on all required quarterly payments  **PM**  **NPM**

## Part 3a: Manufacturer Status

**References to cigarettes (includes roll-your-own) are to the brand families listed below in Part 5 for certification.**

- TPM is the fabricator of the brand families listed in Part 5 below and intends for the cigarettes to be sold in the U.S., including cigarettes intended to be sold in the U.S. through an importer  Yes  No
- TPM is successor as defined in 30 ILCS 168/10.  Yes  No

## Part 4: Designated Contact

Name		Title	
Mailing Address		City, State, Zip	
Phone	E-mail		

## Part 5: Attestation

Attest to understanding the ongoing obligation that should any additional information related to any point on this form become known and available or any information that has been provided change, the TPM has a duty to provide that information to the Office of the Attorney General as soon as possible and untimely responses or failure to provide updated information may result in delisting of a TPM from Illinois' Directories. Is this obligation understood?

Yes  No



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## Part 6: Brand Family Certification (Attach Brands Addendum pages as necessary)

By listing these Brand Families on this certification and certifying as a PM, the TPM affirms that the brand families listed in this certification are a complete list of the brand families which are deemed to be its cigarettes (including RYO product) for purposes of calculating payments under the MSA in the volume and shares determined pursuant to the MSA. If an NPM, the TPM affirms that the following list is a complete list of all its brand families which are to be deemed to be its cigarettes (including RYO product) for purposes of Section 15 of the Escrow Act. Nothing in this certification shall limit or otherwise affect the State's right to maintain that a Brand Family constitutes cigarettes or RYO tobacco of a different tobacco product manufacturer for purposes of calculating payment under the MSA. For each brand style approved by the Fire Marshal, include style, size, flavor, filter & packaging. **Asterisk (\*) denotes brands which are NOT certified for sale in Illinois.**

Brand Family	Check One	Brand Family	Check One
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO

## Part 7: Illinois Directory Verification

### Directory Listing for Brand Families (check one)

- The TPM certifies that the brand families listed on either the Illinois Directory of Participating Manufacturers or the Illinois Directory of Compliant NPMs posted at [www.illinoisattorneygeneral.gov](http://www.illinoisattorneygeneral.gov) are accurate and correct, as is the manufacturer's name.
- Corrections to the Illinois Directory of Participating Manufacturers or the Illinois Directory of Compliant NPMs posted at [www.illinoisattorneygeneral.gov](http://www.illinoisattorneygeneral.gov) are attached.
- The TPM is not listed on either Illinois Directory.

### Directory Listing Information for FSC Cigarettes (check one)

For each brand style that the Fire Marshal has approved and for which the Attorney General's Office has approved the Brand Family, provide the following information: Brand Style, Size (100 or King), Flavor, Filter (Y/N), and Package (Soft/Box) as it should be listed on the Illinois Directory.

- The TPM certifies that the brand style information for FSC cigarettes listed on the Illinois Directory of Participating Manufacturers or the Illinois Directory of Compliant NPMs posted at [www.illinoisattorneygeneral.gov](http://www.illinoisattorneygeneral.gov) are accurate and correct, as is the manufacturer's name.
- Corrections to the Illinois Directory of Participating Manufacturers or the Illinois Directory of Compliant NPMs posted at [www.illinoisattorneygeneral.gov](http://www.illinoisattorneygeneral.gov) are attached.

## Part 8: Packaging

For each brand family certified in Part 6, provide original packaging for all brand styles which are representative of each brand family. Digital submissions are preferred but, if submitting actual packaging, provide flat empty cartons. **Submit new packaging each time you change your packaging or add new brand families.** Packaging for FSC products must be provided when changes are made to the packaging or new FSC products are certified for listing and sale in Illinois. Packaging provided for cigarettes certified in Part 6 must reflect compliance with the Cigarette Fire Safety Standard Act (425 ILCS §8/1, et seq.).

**Please provide packaging for each brand family certified in Part 6 of the Annual Certification for LY 2025 that contains similar descriptors to light, mild, or low and provide FDA authorization for such descriptors or confirm that no packaging contains such descriptors. See Section 911(b)(2)(ii) and 911(b)(3) of the Federal Food Drug and Cosmetic Act as amended by the Family Smoking Prevention and Tobacco Control Act (21 USC 387k).**

## Part 9: PACT Act Information

Provide the following information:

1. Has TPM filed monthly reports of all sales, shipments and transfers of cigarettes and tobacco products into Illinois during 2025 with the Illinois Department of Revenue?  Yes  No

**Provide a copy of your monthly reports filed with IDOR or confirm that such reports have been previously provided to the OAG.**

2. Do you advertise, offer to sell, or sell cigarettes, RYO or smokeless tobacco in any other state besides Illinois?  Yes  No  
If so, please provide a list of those brands you advertise, offer to sell, or sell outside of Illinois.



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*Please Review Instructions Prior to Completion.*

## Part 10: Additional Information Requested by Attorney General's Office

**All TPMs must provide the information requested in this section. Provide a response to each question or indicate N/A. Each attachment must indicate the questions to which it corresponds.**

1. For each brand family certified in Part 5, provide the following:
  - a. address of the manufacturing plant(s)
  - b. name, address and phone number of the factory manager(s)
  - c. name and address of each Illinois licensed distributor that distributes your brand families. If the brand families certified in Part 5 are made by some entity other than the TPM, please provide the name, address and contact name for the fabricator and a copy of any agreement or contract between the fabricator and the TPM regarding the manufacture and/or sale of each brand family.
2. A copy of your current U.S. Treasury Tobacco Tax Bureau (TTB) permit as a manufacturer and/or as an importer as required by 26 U.S.C. §5712 and §5713. Foreign TPMs should provide importer permits for each company that will import its cigarettes into the United States and the name, address and contact information for each importer.
3. Name, address and phone number of the Trademark owner and any license agreement or other document providing permission to the PM to use the trademark for each of the brand families certified in Part 5 of the TPM-1 certification form.
4. A copy of the current corporate documents, such as articles of incorporation, charter or certificate.
5. A listing of all company officers and owners (all persons with an equity interest of 10% or more in the company).
6. Provide a copy of the Biannual Report of Any Change in Product List submitted to the FDA as required by Section 905(i)(3) of the federal Food, Drug, and Cosmetic Act (FD&C Act). If there has been no change in your product list and no biannual report was filed, provide an affidavit to this effect.
7. For each brand family certified in Part 5, has the TPM in prior certifications provided proof of the submittal to FDA of the disclosure of tobacco product ingredients that was due by June 2010 as required by §904 of the Federal Food, Drug and Cosmetic Act (FFDC) as amended by the Family Smoking Prevention and Control Act (21 USC 387d)?  Yes  No  
If the answer for any of the brand families is no, please submit.
8. For each brand family (cigarettes only) certified in Part 5, provide the most recent approval letter from the FTC for the health warning plan and provide the name and address of the entity that filed the health warning rotation plan. If you answer "no" to any of the following, attach a document labeled with the proper question number explaining.
  - a. Has the TPM attached the most recent approval letter from the FTC for the health warning rotation plan for each brand as an exhibit?  Yes  No
  - b. Has the TPM provided the name and address of the entity that filed the health warning rotation plan with the FTC for each brand as an exhibit?:  Yes  No
  - c. The TPM affirms that it will continue to comply with the plan in the most recent FTC approval.  Yes  No
  - d. The TPM affirms that it will timely provide any necessary information to the FDA and provide the Illinois Attorney General with a copy of the TPM's cover letter to the FDA. The TPM has attached the cover letter as an exhibit or affirms it will be providing the cover letter to the Illinois Attorney General within 10 days of sending it to the FDA.
    1. Cover Letter attached?  Yes  No
    2. Cover Letter to be provided after submission to the FDA?  Yes  No
9. Provide a notarized statement that the brand styles you are attempting to certify are not banned effective September 22, 2009 by the FDA legislation that bans additives, including artificial or natural flavors that are characterizing flavors of tobacco product other than tobacco or menthol. See Section 907(a)(1)(A) of the Federal Food, Drug and Cosmetic Act (FFDC) as amended by the Family Smoking Prevention and Tobacco Control Act (FSPTC).
10. If TPM delivers cigarettes directly to a distributor located in and licensed to stamp for IL, list all distributors located in and licensed to stamp for IL to whom cigarettes were delivered and provide copies of the RC 36 CM reports filed with the Illinois Department of Revenue for deliveries during the liability sales year.
11. Provide a copy of your current registration with Illinois Department of Revenue as a manufacturer.
12. If TPM or a subsidiary or parent company of TPM has an Illinois license to stamp cigarettes or pay the OTP tax on RYO, please provide the current license number.
13. If TPM intends to sell or authorizes any other entity to sell any cigarettes or roll-your-own by mail order or through the internet, provide the internet website and/or identify publications. Attach copies of all reports, if any, filed with the Illinois Dept. of Revenue to comply with the Jenkins Act (Chapter 10A of Title 15 of the U.S. Code, Section 375 et seq.) for sales in 2025. Attach copies of any agreements authorizing another to sell your brand families by mail order or through the internet. If TPM has a policy or protocol regarding the prevention of sales of your products via the internet, please provide a copy.
14. Does the TPM or any Affiliate, parent company, subsidiary, or sister company of the TPM disseminate or intend to disseminate any advertising or labeling in any of the following medium. Check all that apply and specify what media is used.



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*Please Review Instructions Prior to Completion.*

- Internet web sites
- Emails sent to consumers
- Video Sharing (e.g., YouTube, Blip tv, Vimeo) or podcasts (e.g., audio sharing)
- Wikis (e.g., Wikipedia)
- Text messaging or instant messaging
- Product placement in movies, music videos, and television, if done at the expense of tobacco manufacturer, distributor, or retailers
- Microblogs (e.g., Twitter) or blogs or weblogs (e.g., Blogger, WordPress, Tumblr)
- Social networks and online communities (e.g., Facebook, MySpace, LinkedIn, Friendster)
- Applications for smart phones and tablet computers (e.g., iPhones, Androids, iPads)
- Pop up or roll-over advertisements on website or online banners

If the answer is yes to any of the foregoing, please provide a copy of each and every notification to the FDA as required under 21 CFR § 1140.30(a)(2) not already provided to our office in previous certification materials. If previously provided, identify the liability year in which it was provided.

If the TPM or any Affiliate, parent company, subsidiary, or sister company of the TPM only disseminates labeling and advertising in permissible media listed in § 1140.30(a)(1), provide an affidavit confirming such.

15. Provide a complete list of "Little Cigars" that TPM or a subsidiary, affiliate or parent company of TPM manufactures. See definition of "Little Cigars" on TPM-LC Part 3. Please provide packaging for any "Little Cigar" classified by the Illinois Department of Revenue as cigarettes in 2025 or which continue to be taxed under OTP tax. For any Cigars classified as Cigarettes in IL in 2025, please complete TPM-LC.
16. Provide a complete list of other tobacco products (e.g. cigars, pipe tobacco, smokeless tobacco, etc.) that the TPM or a subsidiary or parent company of the TPM manufacturers no matter where sold; time periods for manufacture of those brands; and the place of manufacturer for those brands.
17. If TPM or subsidiary or parent company of TPM sells or authorizes any other entity to sell any E-cigarettes, provide a complete list of such E-cigarette brands. In addition, provide packaging for the E-cigarettes and any advertisements as well as a list of publications where the E-cigarettes are advertised. Confirm compliance with all Illinois laws relating to electronic cigarettes or alternative nicotine products. Also, confirm whether any claims have been made that the E-cigarettes are a smoking cessation device or that the product is a safer product than cigarettes or other tobacco products.
18. For all brands listed in Part 6, provide a list of states where those brands are listed and available for sale and provide a list of any state that has delisted any of the brands for any reason.
19. Provide the name and address of your Illinois Registered Agent

## Part 11: Foreign Manufacturer Certification

**All TPMs located outside of the United States must provide the information requested in this section. Provide a response to each question or indicate N/A. Each attachment must indicate the questions to which it corresponds. Please provide a copy of all foreign submissions translated to English.**

1. Is the TPM certifying a company located in a country outside of the United States?  Yes  No  
(If answering No, skip the remaining questions in Section 11 and move to Section 12).
2. Copy of the license, permit or other registration documents required by the country where the brand families listed in Part 6 of this form are manufactured.
3. Copies of all Custom Form 7501 for the liability sales year for each brand family being certified. Provide copies of the invoices corresponding to the U.S. Customs form 7501 for any cigarettes being certified and invoices corresponding to excise tax returns submitted to the Alcohol and Tobacco Tax and Trade Bureau in the past calendar year.
4. Name, address and contact information for all importers for the brand families certified in Part 6 of this form.



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Please Review Instructions Prior to Completion.

## Part 12: Manufacturer Certification

Under penalties of perjury, I state that, to the best of my knowledge, all of the information contained in this Certification and any attached documents are true and accurate and that I am a person authorized to bind the manufacturer making this certification either under the laws of the State of Illinois or of the jurisdiction where the manufacturer resides or is organized. I understand the Attorney General may require additional information and/or documentation to determine whether the manufacturer qualifies for listing on the Illinois Directory. **This document must be signed and dated by an authorized notary public only if not filed through the electronic portal as determined by the Office of the Attorney General.**

\_\_\_\_\_  
Name and Title of Authorized Designee  
(Print)

\_\_\_\_\_  
Signature of Authorized Designee

\_\_\_\_\_  
Date

Subscribed and sworn  
to this date:

\_\_\_\_\_  
Signature of Notary Public

\_\_\_\_\_  
County

\_\_\_\_\_  
Commission expires

## Checklist of Required Documents

- TPM-1 Certification of Tobacco Products Manufacturer
- TPM-Escrow Certificate of Quarterly Escrow Compliance (NPMs only)
- Any Brands or Accounts Addendum Pages
- TPM-LC Certification of Little Cigars
- TPM-Escrow Certification of Annual Escrow Compliance (NPMs only)

### Submit to

Submit the completed certification and other required documentation to the Tobacco Enforcement Bureau through the electronic portal established with Certivault.

### For Questions, Additional Forms and Information

Phone (217) 785-8541

E-mail: tobacco.tobacco@ilag.gov

www.IllinoisAttorneyGeneral.gov



# Instructions for Certification of Tobacco Manufacturer

## General Information

### What is a Tobacco Products Manufacturer?

A Tobacco Products Manufacturer (TPM) is any cigarette (including RYO) manufacturer who is a PM or NPM.

### What is a Participating Manufacturer?

A Participating Manufacturer (PM) is any cigarette (including RYO) manufacturer who has signed on to the Tobacco Master Settlement Agreement (MSA).

### What is a Non-Participating Manufacturer?

A Non-Participating Manufacturer (NPM) is any cigarette (including RYO) manufacturer who has not signed on to the MSA.

### Who must file this Certification?

Any Tobacco Products Manufacturer whose cigarettes or roll-your-own tobacco (RYO) were sold in Illinois during the preceding calendar year or who intend for their brands to be listed in the Illinois Directory of Participating Manufacturers or the Illinois Directory of Compliant NPMs. If a brand is not listed in this certification, it will not be listed in the Directory.

It is unlawful to stamp or offer for sale in the State of Illinois any cigarette or RYO brand which is not included in the Illinois Directory of Participating Manufacturers or Directory of Compliant NPMs.

### When is this Certification due?

An annual certification must be filed with the Attorney General no later than April 30 of each year. An initial certification may be filed at any time.

### Updates

The TPM shall update its certification list at least 30 days prior to wanting any addition to or modification of the PM's brand families by executing and delivering a supplemental certification to the Attorney General.

## Special Instructions

### Part 1: Liability Year and Type of Certification

- Check appropriate liability year. You must submit a separate certification for each year.
- If "Other" is checked, enter liability year for which certification is being provided.
- Check whether this is an initial (manufacturer is not currently listed on the Illinois Directory), annual (due April 30, 2026 for 2025 sales), or supplemental (change of information provided to the Attorney General).

### Part 2: Manufacturer Identification

Provide your company name, address, phone and fax numbers, web address, FEIN, and name and title of the person completing the form.

### Part 3: Manufacturer Type

Indicate whether the manufacturer is certifying as a PM or an NPM.

### Part 4: Designated Contact

Provide the name, title, address, phone and fax numbers, and e-mail address for the individual the Attorney General should contact with respect to matters relating to this certification. The designated contact is the individual who will receive Attorney General mailings, including the annual certification mailing.

### Part 5: Attestation

A TPM has an ongoing obligation to provide truthful information in response to the questions on this form and should any additional information become available or known or any answers change the TPM has a duty to provide that information to the Office of the Attorney General and failure to do so timely or at all can be grounds for delisting.

### Part 6: Brand Family Certification

- Brand Family: Provide the brand name, which could include many brand styles (menthol, 100's, etc.) Do not list each style in Part 6.
- Identify each Brand Family of all cigarettes that the TPM intends to sell in Illinois, either directly or indirectly through any distributor, retailer or similar intermediary, and seeks to have included in the Directory.
- Indicate by an asterisk (\*) brand families which are NOT certified for sale in Illinois but which are deemed to be its cigarettes for purposes of calculating payments under the MSA.
- Check whether the product is cigarettes or RYO.
- Where a brand is offered as both cigarettes and RYO, make a separate entry for each.
- A Brands Addendum page is included with the certification packet and is available on the Attorney General's website.

### **Part 7: Illinois Directory Verification**

- Mark the applicable box and provide any corrections for brand families.
- Mark the applicable box and provide listing information for FSC cigarettes, including brand style, size (100 or Kings), flavor, filter (y/n) and package (soft or box) as it should be listed on the Illinois Directory.

### **Part 8: Packaging**

- Provide original packaging for all styles for each brand family certified. If no changes from previous submission indicate date of prior submission.
- Packaging provided for cigarettes must reflect compliance with the Cigarette Fire Safety Standard Act (425 ILCS §8/1 *et seq.*)
- Submit new packaging each time you change your packaging or add new brand families.
- Provide packaging for all brand styles that contain descriptors including "light," "mild," or "low" or similar descriptors and provide any approvals of the FDA for such descriptors.

### **Part 9: PACT Act Information**

- Indicate whether the TPM has filed monthly reports with the Illinois Department of Revenue and provide copies of those reports..
- Indicate whether the TPM advertises, offers to sell or sells cigarettes, RYO or other smokeless tobacco products into states beside Illinois and if so provide a list of the brands that are advertised, sold or offered for sale outside of Illinois

### **Part 10: Additional Information Requested by the Attorney General's Office**

Provide the information requested. The Attorney General may require a tobacco product manufacturer to submit any additional information including, but not limited to, samples of the packaging or labeling of each brand family, as is necessary to enable the Attorney General to determine whether a tobacco product manufacturer is in compliance with the Escrow Enforcement Act of 2003 (30 ILCS 167/25(d)).

### **Part 11: Foreign Manufacturer Certification**

All manufacturers answer question 1 and only Foreign (located outside the United States) Manufacturers complete the remaining questions.

### **Part 12: Manufacturer Certification**

The authorized designee executing the certification must be an officer, principal, director or other authorized representative of the manufacturer. The authorized designee's name and title must be legibly printed. If not filed through Certivault, form must be signed and must be notarized.